

Current Oversight of Western State Hospital Adherence to CMS Conditions of Participation and Other Associated Requirements

| Group Tags | CMS Condition of Participation                         | Entity Involved in Oversight   |
|------------|--|--|
| A          | § 482.11 Compliance with Federal, State and Local Laws | <ul style="list-style-type: none"> <li>• DOH – Contract (investigate claims of abuse, neglect, financial &amp; sex exploitation, and patient/visitor/staff complaints)*</li> <li>• DOH – Professional Licensing (all certified, licensed, registered professions)</li> <li>• WA State Labor &amp; Industries (workplace safety inspections and investigations)</li> <li>• WSH Governing Body (oversight &amp; fiduciary)</li> <li>• Clinical Services Management (CSM) – Contract (surveys and monitoring of action plans)</li> <li>• DSHS Secretary and BHA Assistant Secretary (review findings, corrective action plans and monitoring reports)</li> </ul>  |
| A          | §482.12: Governing Body                                | <ul style="list-style-type: none"> <li>• DOH – Contract (investigate claims of abuse, neglect, financial &amp; sex exploitation, and patient/visitor/staff complaints)*</li> <li>• Clinical Services Management (CSM) – Contract (surveys and monitoring of action plans)</li> <li>• DSHS Secretary and BHA Assistant Secretary (review findings, corrective action plans and monitoring reports)</li> </ul>   |
| A          | §482.13: Patient's Rights                              | <ul style="list-style-type: none"> <li>• DSHS ERMO Internal Audits and Consultation Unit (re: patient funds)</li> <li>• Office of the Washington State Auditor (re: patient funds)</li> <li>• BHA Investigations Unit (investigate patient/visitor/staff complaints)</li> <li>• DOH – Contract (investigate claims of abuse, neglect, financial &amp; sex exploitation, and patient/visitor/staff complaints)*</li> <li>• WSH Patient Quality Council / Grievance Group</li> <li>• WSH Governing Body (oversight &amp; fiduciary)</li> <li>• Clinical Services Management (CSM) – Contract (surveys and monitoring of action plans)</li> <li>• DSHS Secretary and BHA Assistant Secretary (review findings, corrective action plans and monitoring reports)</li> </ul> |

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|------------|---|--|
| A          | §482.21: Quality Assessment and Performance Improvement Program | <ul style="list-style-type: none"> <li>• DOH – Contract (investigate claims of abuse, neglect, financial &amp; sex exploitation, and patient/visitor/staff complaints)*</li> <li>• WSH Governing Body (oversight &amp; fiduciary)</li> <li>• Clinical Services Management (CSM) – Contract (surveys and monitoring of action plans)</li> <li>• DSHS Secretary and BHA Assistant Secretary (review findings, corrective action plans and monitoring reports)</li> </ul>   |
| A          | §482.22: Medical staff  | <ul style="list-style-type: none"> <li>• DOH – Contract (investigate claims of abuse, neglect, financial &amp; sex exploitation, and patient/visitor/staff complaints)*</li> <li>• DOH – RCW: Uniform Disciplinary Act (investigations)</li> <li>• WSH Governing Body (oversight &amp; fiduciary)</li> <li>• Clinical Services Management (CSM) – Contract (surveys and monitoring of action plans)</li> <li>• DSHS Secretary and BHA Assistant Secretary (review findings, corrective action plans and monitoring reports)</li> </ul> |
| A          | §482.23: Nursing Services                                       | <ul style="list-style-type: none"> <li>• DOH – Contract (investigate claims of abuse, neglect, financial &amp; sex exploitation, and patient/visitor/staff complaints)*</li> <li>• DOH – RCW: Uniform Disciplinary Act (investigations)</li> <li>• WSH Governing Body (oversight &amp; fiduciary)</li> <li>• Clinical Services Management (CSM) – Contract (surveys and monitoring of action plans)</li> <li>• DSHS Secretary and BHA Assistant Secretary (review findings, corrective action plans and monitoring reports)</li> </ul> |
| A          | §482.24: Medical Record Services                                | <ul style="list-style-type: none"> <li>• DOH – Contract (investigate claims of abuse, neglect, financial &amp; sex exploitation, and patient/visitor/staff complaints)*</li> <li>• WSH Governing Body (oversight &amp; fiduciary)</li> <li>• Clinical Services Management (CSM) – Contract (surveys and monitoring of action plans)</li> <li>• DSHS Secretary and BHA Assistant Secretary (review findings, corrective action plans and monitoring reports)</li> </ul>   |

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| Group Tags | CMS Condition of Participation      | Entity Involved in Oversight   |
|------------|-------------------------------------|--|
| A          | §482.25: Pharmaceutical Services    | <ul style="list-style-type: none"> <li>• DOH – Contract (investigate claims of abuse, neglect, financial &amp; sex exploitation, and patient/visitor/staff complaints)*</li> <li>• DOH – RCW: Uniform Disciplinary Act (investigations)</li> <li>• DOH – Washington State Board of Pharmacy</li> <li>• WSH Governing Body (oversight &amp; fiduciary)</li> <li>• Clinical Services Management (CSM) – Contract (surveys and monitoring of action plans)</li> <li>• DSHS Secretary and BHA Assistant Secretary (review findings, corrective action plans and monitoring reports)</li> </ul> |
| A          | §482.26: Radiologic Services        | <ul style="list-style-type: none"> <li>• WSH Governing Body (oversight &amp; fiduciary)</li> <li>• Clinical Services Management (CSM) – Contract (surveys and monitoring of action plans)</li> <li>• DSHS Secretary and BHA Assistant Secretary (review findings, corrective action plans and monitoring reports)</li> </ul>   |
| A          | §482.27: Laboratory Services        | <ul style="list-style-type: none"> <li>• DOH – Contract (investigate claims of abuse, neglect, financial &amp; sex exploitation, and patient/visitor/staff complaints)*</li> <li>• WSH Governing Body (oversight &amp; fiduciary)</li> <li>• Clinical Services Management (CSM) – Contract (surveys and monitoring of action plans)</li> <li>• DSHS Secretary and BHA Assistant Secretary (review findings, corrective action plans and monitoring reports)</li> </ul>   |
| A          | §482.28: Food and Dietetic Services | <ul style="list-style-type: none"> <li>• DOH – RCW/WAC for Food Services Establishment (inspections and investigations)</li> <li>• WSH Governing Body (oversight &amp; fiduciary)</li> <li>• Clinical Services Management (CSM) – Contract (surveys and monitoring of action plans)</li> <li>• DSHS Secretary and BHA Assistant Secretary (review findings, corrective action plans and monitoring reports)</li> </ul>   |
| A          | §482.30: Utilization Review         | <ul style="list-style-type: none"> <li>• WSH Governing Body (oversight &amp; fiduciary)</li> <li>• Clinical Services Management (CSM) – Contract (surveys and monitoring of action plans)</li> <li>• DSHS Secretary and BHA Assistant Secretary (review findings, corrective action plans and monitoring reports)</li> </ul>   |

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| A          | §482.41: Physical Environment              | <ul style="list-style-type: none"> <li>• DOH – Contract – Facility Operational Events (investigations)</li> <li>• WA State Labor and Industries – (workplace safety inspections and investigations)</li> <li>• West Pierce Fire – NFPA Life Safety Code / Fire Safety (inspections and Investigations)</li> <li>• WA State Human Rights Commission (State Accessibility Standards)</li> <li>• WSP: Fire Marshal’s Office - NFPA Life Safety Code / Fire Safety (investigations)</li> <li>• WSH Governing Body (oversight &amp; fiduciary)</li> <li>• Clinical Services Management (CSM) – Contract (surveys and monitoring of action plans)</li> <li>• DSHS Secretary and BHA Assistant Secretary (review findings, corrective action plans and monitoring reports)</li> </ul> |
| A          | §482.42: Infection Control                 | <ul style="list-style-type: none"> <li>• DOH – Contract (investigate claims of abuse, neglect, financial &amp; sex exploitation, and patient/visitor/staff complaints)*</li> <li>• DOH – RCW: Uniform Disciplinary Act (investigations)</li> <li>• WSH Governing Body (oversight &amp; fiduciary)</li> <li>• Clinical Services Management (CSM) – Contract (surveys and monitoring of action plans)</li> <li>• DSHS Secretary and BHA Assistant Secretary (review findings, corrective action plans and monitoring reports)</li> </ul>   |
| A          | §482.43: Discharge Planning                | <ul style="list-style-type: none"> <li>• DOH – Contract (investigate claims of abuse, neglect, financial &amp; sex exploitation, and patient/visitor/staff complaints)*</li> <li>• WSH Governing Body (oversight &amp; fiduciary)</li> <li>• Clinical Services Management (CSM) – Contract (surveys and monitoring of action plans)</li> <li>• DSHS Secretary and BHA Assistant Secretary (review findings, corrective action plans and monitoring reports)</li> </ul>   |
| A          | §482.45: Organ, Tissue and Eye Procurement | <ul style="list-style-type: none"> <li>• DOH – Contract (investigate claims of abuse, neglect, financial &amp; sex exploitation, and patient/visitor/staff complaints)*</li> <li>• WSH Governing Body (oversight &amp; fiduciary)</li> <li>• Clinical Services Management (CSM) – Contract (surveys and monitoring of action plans)</li> </ul>   |

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|------------|--|--|
| A          | §482.51: Surgical Services   | <ul style="list-style-type: none"> <li>• DOH – Contract (investigate claims of abuse, neglect, financial &amp; sex exploitation, and patient/visitor/staff complaints)*</li> <li>• WSH Governing Body (oversight &amp; fiduciary)</li> <li>• Clinical Services Management (CSM) – Contract (surveys and monitoring of action plans)</li> </ul>   |
| A          | §482.52: Anesthesia Services   | <ul style="list-style-type: none"> <li>• DOH – Contract (investigate claims of abuse, neglect, financial &amp; sex exploitation, and patient/visitor/staff complaints)*</li> <li>• WSH Governing Body (oversight &amp; fiduciary)</li> <li>• Clinical Services Management (CSM) – Contract (surveys and monitoring of action plans)</li> </ul>   |
|            | §482.53: Nuclear Medicine Services                                     | <i>Requirements do not apply to WSH services</i>   |
|            | §482.54: Outpatient Services   | <i>Requirements do not apply to WSH services</i>   |
|            | §482.55: Emergency Services  | <i>Requirements do not apply to WSH services</i>   |
| A          | §482.56: Rehabilitation Services                                       | <ul style="list-style-type: none"> <li>• DOH – Contract (investigate claims of abuse, neglect, financial &amp; sex exploitation, and patient/visitor/staff complaints)*</li> <li>• WSH Governing Body (oversight &amp; fiduciary)</li> <li>• Clinical Services Management (CSM) – Contract (surveys and monitoring of action plans)</li> </ul>   |
| B          | §482.60: Special Provisions Applying to Psychiatric Hospitals          | <ul style="list-style-type: none"> <li>• WSH Governing Body (oversight &amp; fiduciary)</li> <li>• Clinical Services Management (CSM) – Contract (surveys and monitoring of action plans)</li> <li>• DSHS Secretary and BHA Assistant Secretary (review findings, corrective action plans and monitoring reports)</li> </ul>   |
| B          | §482.61: Special Medical Record Requirements for Psychiatric Hospitals | <ul style="list-style-type: none"> <li>• DOH – Contract (investigate claims of abuse, neglect, financial &amp; sex exploitation, and patient/visitor/staff complaints)*</li> <li>• WSH Governing Body (oversight &amp; fiduciary)</li> <li>• Clinical Services Management (CSM) – Contract (surveys and monitoring of action plans)</li> <li>• DSHS Secretary and BHA Assistant Secretary (review findings, corrective action plans and monitoring reports)</li> </ul> |

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| B          | § 482.62 Special Staff Requirements for Psychiatric Hospitals.  | <ul style="list-style-type: none"> <li>• DOH – Contract (investigate claims of abuse, neglect, financial &amp; sex exploitation, and patient/visitor/staff complaints)*</li> <li>• WSH Governing Body (oversight &amp; fiduciary)</li> <li>• Clinical Services Management (CSM) – Contract (surveys and monitoring of action plans)</li> <li>• DSHS Secretary and BHA Assistant Secretary (review findings, corrective action plans and monitoring reports)</li> </ul>   |
| K          | NFPA 101 LSC Requirements:<br>Section 1 – General Requirements<br>Section 2 – Means of Egress Requirements<br>Section 3 – Protection<br>Section 4 – Special Provisions<br>Section 5 – Building Services<br>Section 7 – Operating Features | <ul style="list-style-type: none"> <li>• DOH – Contract – Facility Operational Events (investigations)</li> <li>• WA State Labor and Industries – (workplace safety inspections and investigations)</li> <li>• West Pierce Fire – NFPA Life Safety Code / Fire Safety (inspections and Investigations)</li> <li>• WA State Human Rights Commission (State Accessibility Standards)</li> <li>• WSP: Fire Marshalls Office - NFPA Life Safety Code / Fire Safety (inspections and Investigations)</li> <li>• WSH Governing Body (oversight &amp; fiduciary)</li> <li>• Clinical Services Management (CSM) – Contract (surveys and monitoring of action plans)</li> <li>• DSHS Secretary and BHA Assistant Secretary (review findings, corrective action plans and monitoring reports)</li> </ul> |
| K          | NFPA: Health Care Facilities Code Requirements  | <ul style="list-style-type: none"> <li>• DOH – Contract – Facility Operational Events (investigations)</li> <li>• WA State Labor and Industries – (workplace safety inspections and investigations)</li> <li>• West Pierce Fire – NFPA Life Safety Code / Fire Safety (inspections and Investigations)</li> <li>• WA State Human Rights Commission (State Accessibility Standards)</li> <li>• WSH Governing Body (oversight &amp; fiduciary)</li> <li>• Clinical Services Management (CSM) – Contract (surveys and monitoring of action plans)</li> <li>• DSHS Secretary and BHA Assistant Secretary (review findings, corrective action plans and monitoring reports)</li> </ul>  |

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- \* The Department of Health (DOH) is contracted by DSHS/WSH to receive reports and conduct investigations of:
- Adverse Health Events (as defined in WAC 246-302-030, such as incidents involving patient death or serious injury);
  - Operational or Maintenance Events (as defined in WAC 246-320-151, such as a facility system malfunction in heating, ventilation, electrical or water supply affecting patient care);
  - A complaint that alleges an occurrence that poses immediate risk of patient harm;
  - A complaint that alleges an occurrence that demonstrates the extent or severity of the deficient practice limits WSH's capacity to furnish safe and effective services that meet the needs of patients but does not constitute an immediate risk of patient harm.

### Notes

1. Group Tag Legend: A – Hospital Operations; B – Psychiatric Hospitals; K – Fire Safety: 2012 Life Safety Code
2. The contracted CSM consultants, and another consultant from Oregon, monitor and have oversight of all Conditions of Participation via the established WSH Functional Work Teams.

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